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COMMONWEALTH OF KENTUCKY  
**KENTUCKY BOARD OF AUCTIONEERS**  
656 CHAMBERLIN AVE, SUITE-B  
FRANKFORT, KENTUCKY 40601  
<http://auctioneers.ky.gov>  
(502) 564-7760

**PLEASE PRINT**

**AUCTIONEER LICENSE EXAMINATION APPLICATION**

1. ☐ Apprentice    ☐ Principal    ☐ Auction House Operator    ☐ Limited Livestock
2. License # \_\_\_\_\_
3. Desired Exam Date: \_\_\_\_\_ 4. Telephone (\_\_\_\_) \_\_\_\_\_
5. \_\_\_\_\_  
First Middle Last (as name will be printed on license)
6. Home / Mailing Address:  
Street or PO Box: \_\_\_\_\_  
City, State, Zip Code: \_\_\_\_\_  
Kentucky County: \_\_\_\_\_  
Email address: \_\_\_\_\_
7. Date of Birth \_\_\_\_\_ 8. Social Security Number \_\_\_\_\_
9. List the year and school from which you were awarded a high school diploma or equivalent (GED)?  
\_\_\_\_\_
10. Have you ever been licensed by the Kentucky Board of Auctioneers? ☐ Yes ☐ No  
If yes, when was the license canceled? \_\_\_\_\_  
For questions 11 – 14, if the answer is yes, please attach a letter of explanation and a copy of the final order.
11. Have you ever been denied an auctioneer license in this state or another state? ☐ Yes ☐ No
12. Has disciplinary action ever been taken against your auctioneer license or other professional license in this state or another state? ☐ Yes ☐ No
13. Are there pending criminal charges or final convictions of any state/federal felony or misdemeanor (other than a minor traffic violation)? ☐ Yes ☐ No
14. Has a judgment involving personal property or real estate ever been obtained against you or any partner or shareholder of your firm? ☐ Yes ☐ No
15. For **apprentice exam** applicants only: Who is your Principal Auctioneer? \_\_\_\_\_

I, (please print name) \_\_\_\_\_, a Principal Auctioneer licensed in the Commonwealth of Kentucky, do hereby accept this applicant as an Apprentice Auctioneer and the responsibilities thereof, subsequent to he/she passing the auctioneer examination and qualifying under the provisions of KRS 330.

\_\_\_\_\_  
Principal Auctioneer's Signature

\_\_\_\_\_  
License Number

16. For **principal exam** applicants only, THE PRINCIPAL AUCTIONEER OF THE EXAM APPLICANT completes this question:

I, (print name) \_\_\_\_\_, a Principal Auctioneer, do hereby certify that Apprentice Auctioneer, \_\_\_\_\_, License number A- \_\_\_\_\_, has actively and materially participated in at least ten (10) auctions prior to application. KRS 330.070 (1)(c)

Sellers' Names and Auction Dates:

- |          |           |
|----------|-----------|
| 1. _____ | 6. _____  |
| 2. _____ | 7. _____  |
| 3. _____ | 8. _____  |
| 4. _____ | 9. _____  |
| 5. _____ | 10. _____ |

\_\_\_\_\_  
Principal Auctioneer's Signature & License Number

17. If you do not reside in Kentucky and do not hold a Kentucky Apprentice Auctioneer license, and are requesting to waive the apprentice examination by applying for the Principal Auctioneer exam, list the types of auctions you have conducted, number of auctions per year, and how long you have been an active auctioneer.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

#### 18. Applicant's Certification and Authorization

*I hereby submit the licensure examination fee and proof of completed instruction required in conformity with the Auctioneer Law (KRS 330) and Regulations (201 KAR 3) of the Commonwealth of Kentucky. I certify that all the information contained on this application and attached material is true and correct. I understand that all principal auctioneers conducting an auction in Kentucky are required, themselves or through their auction company, to maintain a Sales and Usage Tax permit reporting number with the Kentucky Department of Revenue.*

*I also hereby authorize an investigation into all educational, criminal, and civil records which may be relevant to this application. This authorization shall serve as a release of all liability to all parties furnishing such information to the Kentucky Board of Auctioneers and their authorized agents, as well as to the Board for use of such information.*

*If I am not a resident of Kentucky, I hereby irrevocably consent, stipulate, and agree that suits and actions may be commenced against me in the proper court of any county in the Commonwealth in which a cause of action arises, by the service of any process or pleading authorized by the laws of the Commonwealth of Kentucky on the Secretary of the Kentucky Board of Auctioneers. That service of such process or pleadings on said Secretary shall be taken and held in all courts to be as valid and binding as if the service had been made upon me in the Commonwealth of Kentucky.*

DATE: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

#### 19. Notary Certification

I certify this application was subscribed and sworn to before me by \_\_\_\_\_.

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

NOTARY SIGNATURE: \_\_\_\_\_

My commission in the state of \_\_\_\_\_ expires on \_\_\_\_\_.